

FORM PTO-1390
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1391.1065

U.S. APPLICATION NO. (If known, see 37 CFR 1.5
Unassigned)

10/527984


TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/JP03/012313INTERNATIONAL FILING DATE
September 26, 2003PRIORITY DATE CLAIMED
October 4, 2002TITLE OF INVENTION
IMAGE READING APPARATUSAPPLICANT(S) FOR DO/EO/US
Shinobu YAMAMOTO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT Publication WO 2004/032482 A1 (2 pages), PCT/RO/101, International Search Report (Form PCT/ISA/210)

| | | | | | | | |
|---|--------------|--|--|--|---|---------------------------------------|-----------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.55) Unassigned | | | | INTERNATIONAL APPLICATION NO. PCT/JP03/012313 | | ATTORNEY'S DOCKET NUMBER 1391.1065 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | | APPLICANT USE | OFFICE USE ONLY |
| <input checked="" type="checkbox"/> a) Basic National Fee\$300.00 | | | | | | \$300.00 | |
| <input checked="" type="checkbox"/> b) Examination Fee\$200.00 | | | | | | \$200.00 | |
| <input checked="" type="checkbox"/> c) Search Fee\$500.00 | | | | | | \$500.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$1000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each addition 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | | Rate | | | |
| * - 100 = | */50= | | | X 250.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | | RATE | | \$ | |
| Total claims | 9 - 20 = | 0 | | x \$50.00 | | \$ | |
| Independent claims | 1 - 3 = | 0 | | X \$200.00 | | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | | + | \$360.00 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$1,000.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | | \$ | |
| SUBTOTAL = | | | | | | \$1,000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | | \$1,000.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | | | \$1,040.00 | |
| Amount to be refunded: | | | | | | | \$ |
| Amount to be charged: | | | | | | | \$ |
| a. <input type="checkbox"/> A check in the amount of \$1,040.00 cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | |  SIGNATURE David M. Pitcher NAME 25,908 REGISTRATION NUMBER | | | |
| Staas & Halsey LLP 1201 New York Avenue, N.W., Suite 700 Washington, DC 20005 | | | | | | | |